U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid QMB control number.

Substitute for form 1449/PTO

## Complete if Known Application Number 10/634,711 August 5, 2003 Filing Date First Named Inventor William F. McKay Art Unit 3738

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary) **Examiner Name Bruce Edward Snow MSDI-465** Attorney Docket Number Sheet 1 U.S. PATENT DOCUMENTS

Examiner	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan
Initiets'	NO.	Number-Kind Code <sup>2 (f known)</sup>	MIM-DO-1111	Applicant of Otto Document	Figures Appear
1		<sup>US-</sup> 5,658,337	8/19/1997	Kohrs, et al.	
(A)		<sup>US-</sup> 5,683,394	11/4/1997	Rinner	
To the second		<sup>US-</sup> 5,522,899	6/4/1996	Michelson	
1		US-			
		U\$-			
		US-			
		US-			
		US-			·
		US-			
	T	US-			

FOREIGN PATENT DOCUMENTS										
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear					
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				T'				
		FR 2 727 003	5/24/1996	Tisserand Philippe						
7		WO 95/25483	9/28/1995	Draenert, Klaus						
		EP 0 577 179 A1	1/5/1994	Bristol-Myers Squibb Co.						
\d		DE 44 09 836 A1	9/28/1995	Draenert, Klaus						
*()	<u> </u>	WO 91/06266	5/16/1991	Surgical Dynamics, Inc.						
4						<u></u>				

Examiner Considered Signature \*EXAMINER: Initial if refrence-considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if first in conformance and not considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if first in conformance and not considered. Include copy of this form with next communication to applicant. \*Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language

Date

I ransiation is attached.

This collection of Information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (07-05)

Approved for use through 07/31/2008. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 10/634,711 Filing Date INFORMATION DISCLOSURE August 5, 2003 STATEMENT BY APPLICANT First Named Inventor William F. McKay **Art Unit** 3738 (Use as many sheets as necessary) **Examiner Name Bruce Edward Snow Attorney Docket Number** Sheet MSDI-465 2 of 2

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
80		Minns, R.J. "Preliminary Design and Experimental Studies of a Novel Soft Implant for Correcting Sagittal Plane Instability in the Lumbar Spine", SPINE, Vol. 22, Number 16, pp. 1819-1825 (1997)	
	·		
Examiner	1	Date 3/18/14	

Signature Considered C considered. Include copy of this form with next communication to applicant.

Considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.